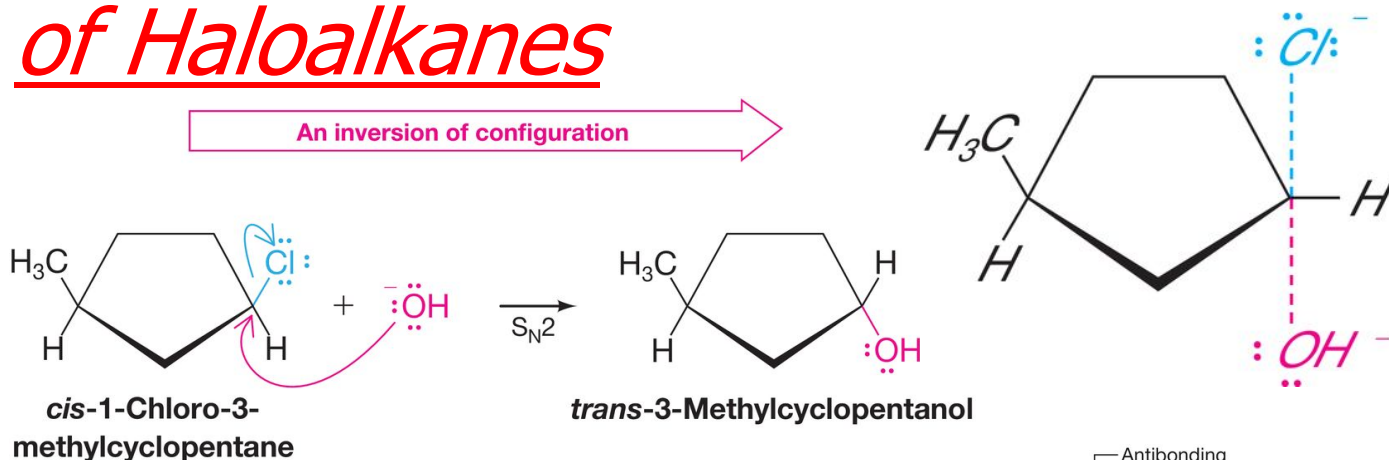


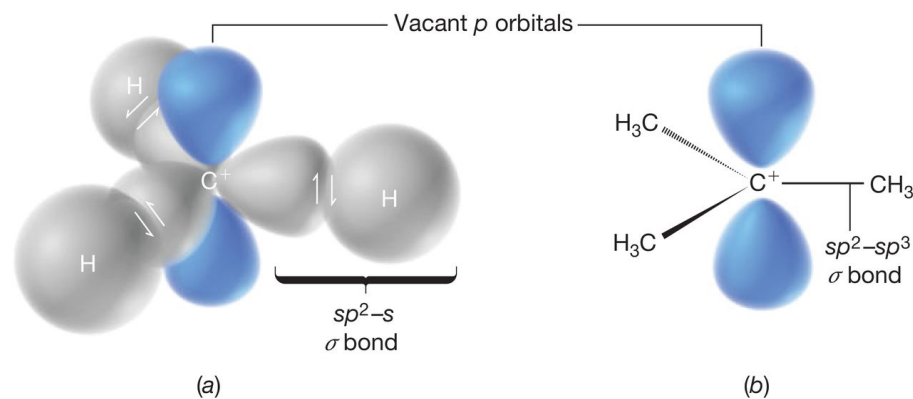
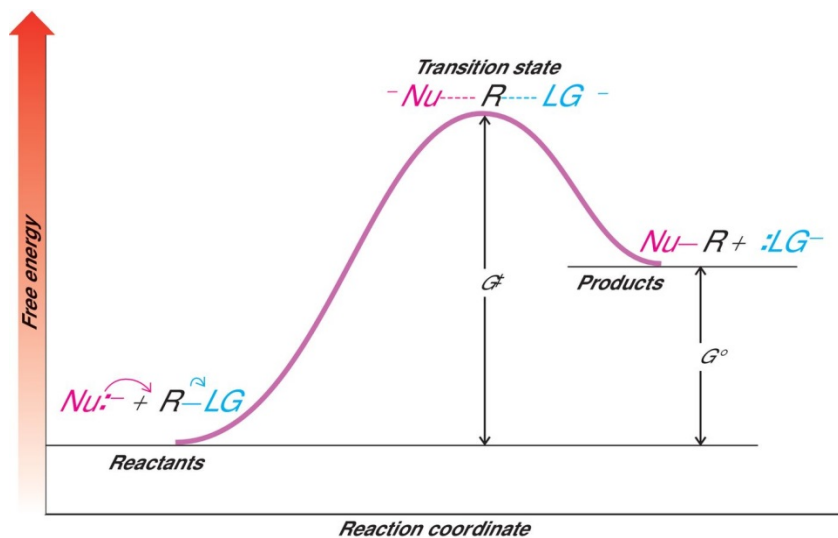
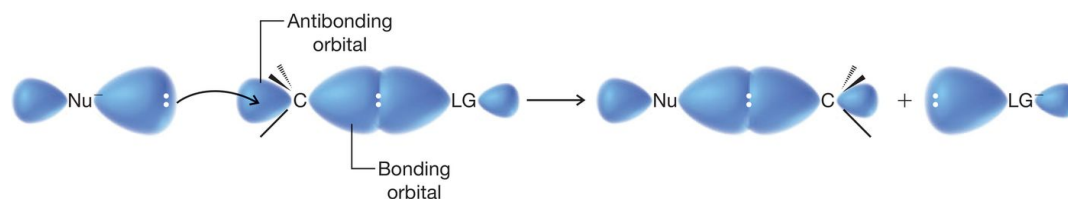
# Chapter 7, Haloalkanes,

## Properties and Substitution Reactions of Haloalkanes



*Leaving group departs from the top side.*

*Nucleophile attacks from the bottom side.*



# Table of Contents

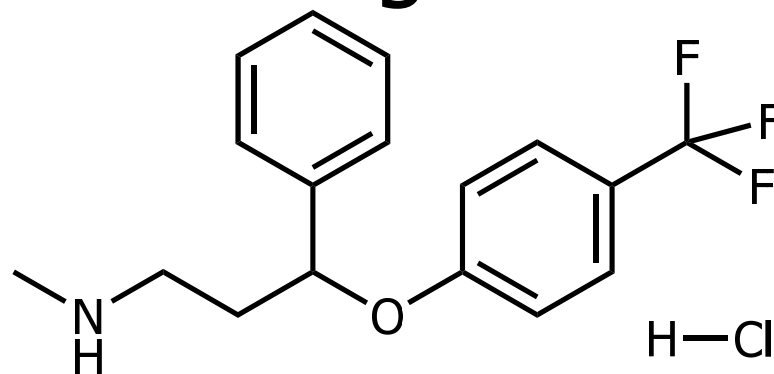
1. Alkyl Halides (Haloalkane)
2. Nucleophilic Substitution Reactions ( $S_NX$ ,  $X=1$  or  $2$ )
3. Nucleophiles (Acid-Base Chemistry,  $pK_a$ )
4. Leaving Groups (Acid-Base Chemistry,  $pK_a$ )
5. Kinetics of a Nucleophilic Substitution Reaction: An  $S_N2$  Reaction
6. A Mechanism for the  $S_N2$  Reaction
7. The Stereochemistry of  $S_N2$  Reactions
8. A Mechanism for the  $S_N1$  Reaction
9. Carbocations,  $\rightarrow S_N1, E1$
10. Stereochemistry of  $S_N1$  Reactions
11. Factors Affecting the Rates of  $S_N1$  and  $S_N2$  Reactions
12.  $\beta$ -Eliminations,  $E1$  and  $E2$
13.  $E2$  and  $E1$  mechanisms and product predictions

## In this chapter we will consider:

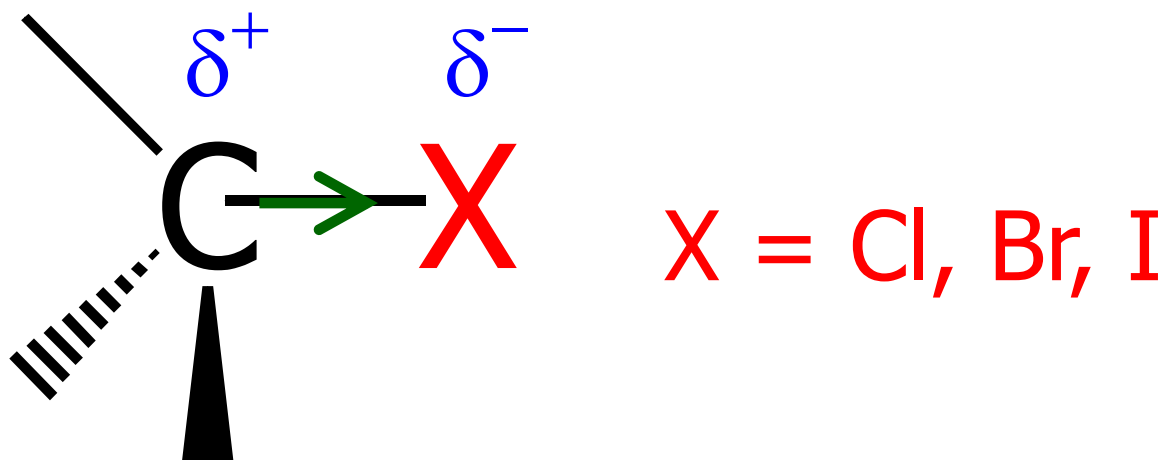
- ❖ What groups can be *replaced* (i.e., substituted) or eliminated
- ❖ The various *mechanisms* by which such processes occur
- ❖ The *conditions* that can promote such reactions

# Alkyl Halides (Haloalkane)

- ❖ An alkyl halide has a halogen atom bonded to an  $sp^3$ -hybridized (tetrahedral) carbon atom
- ❖ The carbon–chlorine and carbon–bromine bonds are polarized because the halogen is more electronegative than carbon

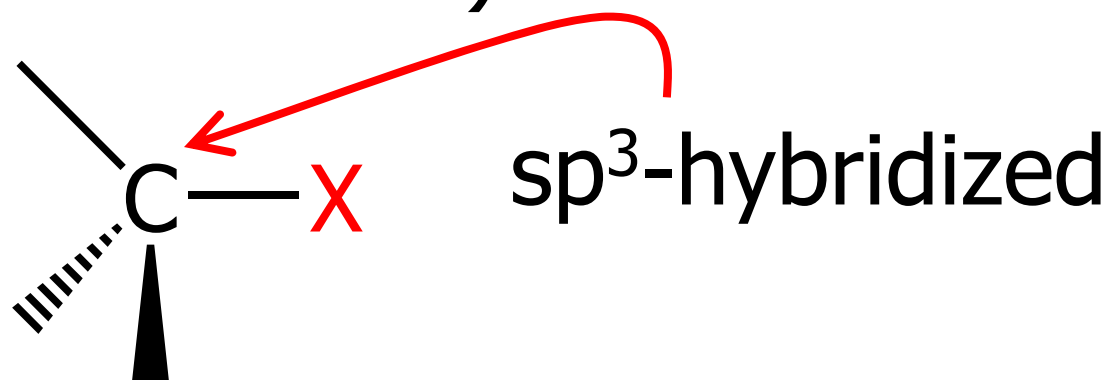


- ❖ The carbon-iodine bond do not have a permanent dipole, the bond is easily *polarizable*
- ❖ Iodine is a good *leaving group* due to its polarizability, i.e. its ability to stabilize a charge due to its large atomic size
- ❖ Generally, a carbon-halogen bond is polar with a partial positive ( $\delta^+$ ) charge on the carbon and partial negative ( $\delta^-$ ) charge on the halogen

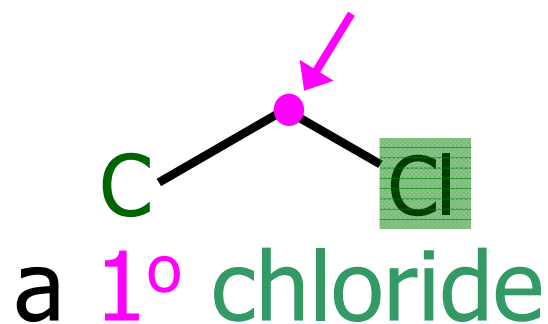


# Different Types of Organic Halides

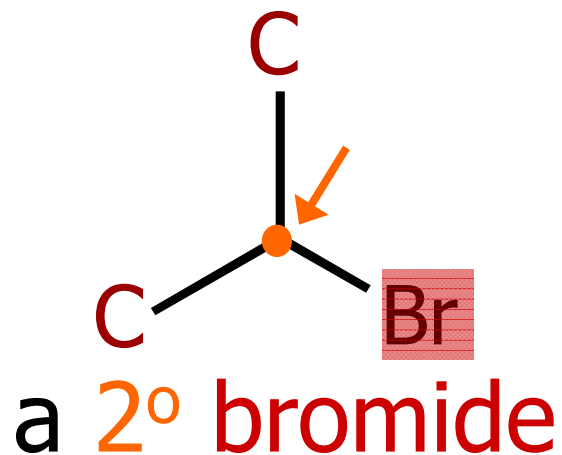
## ❖ Alkyl halides (haloalkanes)



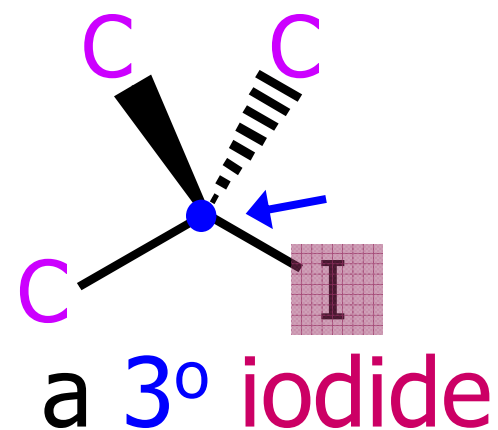
Attached to  
1 carbon atom



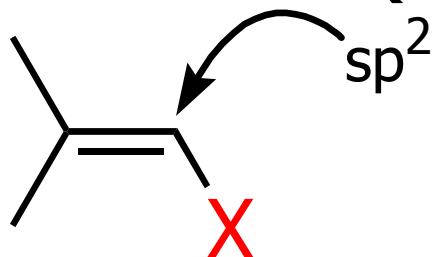
Attached to  
2 carbon atoms



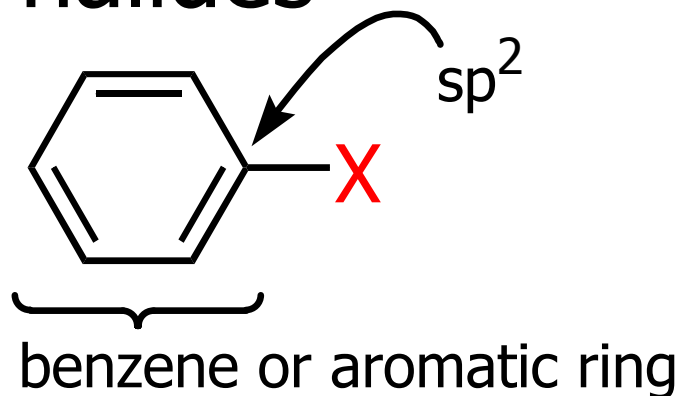
Attached to  
3 carbon atoms



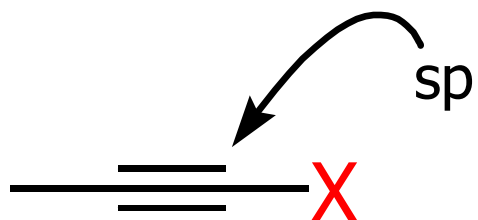
❖ Vinyl halides (Alkenyl halides)

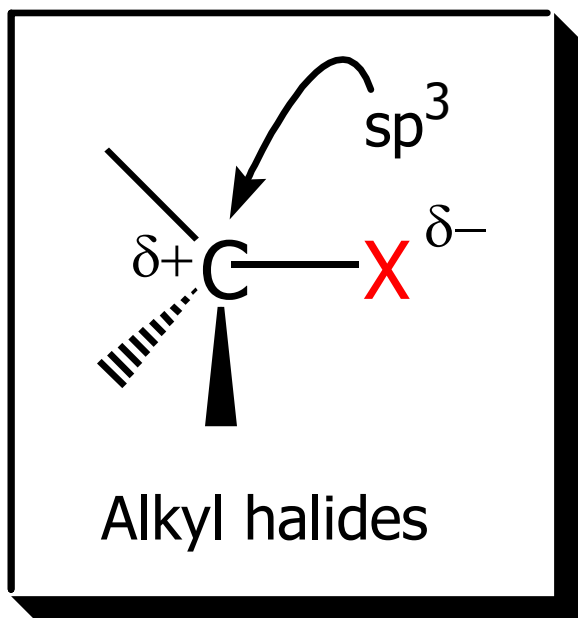


❖ Aryl halides

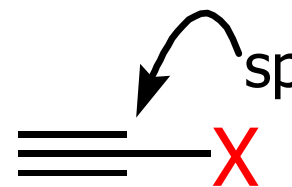
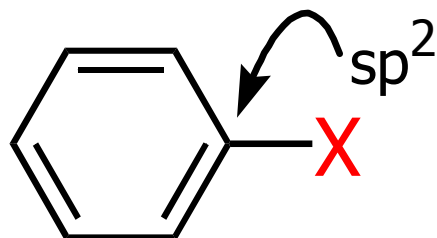
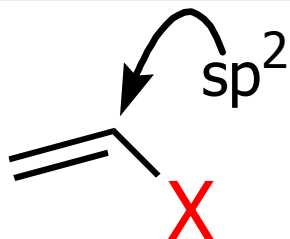


❖ Acetylenic halides (Alkynyl halides)





- ❖ Alkyl halides are prone to undergo **Nucleophilic Substitutions ( $S_N$ )** and **Elimination Reactions (E)**

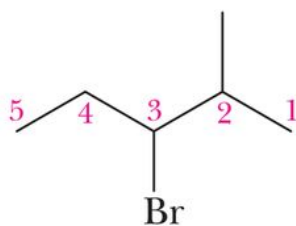


- ❖ Alkenyl halides, aryl (phenyl) halides, and alkynyl halides have different reactivity than alkyl halides, and do not generally undergo  **$S_N$**  or **E** reactions

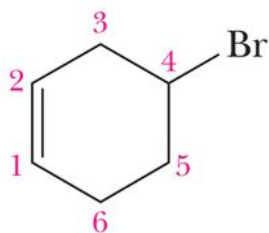


# Nomenclature - IUPAC

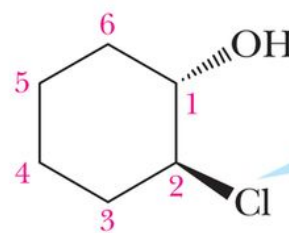
- Locate the parent alkane.
- Number the parent chain to give the substituent encountered first the lower number.
- Show halogen substituents by the prefixes fluoro-, chloro-, bromo-, and iodo- and list them in alphabetical order with other substituents.
- Locate each halogen on the parent chain.



3-Bromo-2-methylpentane



4-Bromocyclohexene



(1*S*,2*S*)-2-Chlorocyclohexanol  
or  
*trans*-2-Chlorocyclohexanol

haloalkanes are named by treating the halogen as substituents in the IUPAC system of nomenclature

# Nomenclature

- ❖ Several polyhaloalkanes are common solvents and are generally referred to by their common or trivial names.



Dichloromethane

(Methylene chloride)



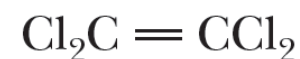
Trichloromethane

(Chloroform)



1,1,1-Trichloroethane

(Methyl chloroform)



Tetrachloroethene

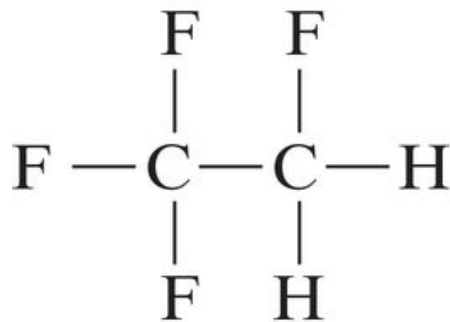
(Tetrachloroethylene)

# Freons & Their Alternatives

- ❖ The Freons are **chlorofluorocarbons (CFCs)**
  - Among the most widely used were:
  - Much lower ozone-depleting alternatives are the hydrofluorocarbons (HFCs) and the hydrochlorofluorocarbons (HCFCs), including:



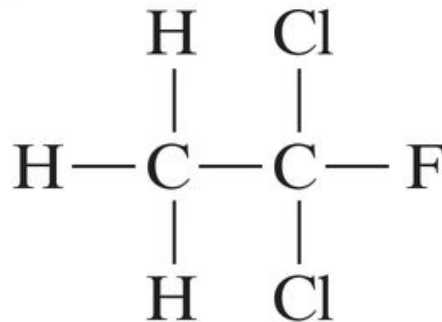
**Trichlorofluoromethane**  
**(Freon-11)**



HFC-134a



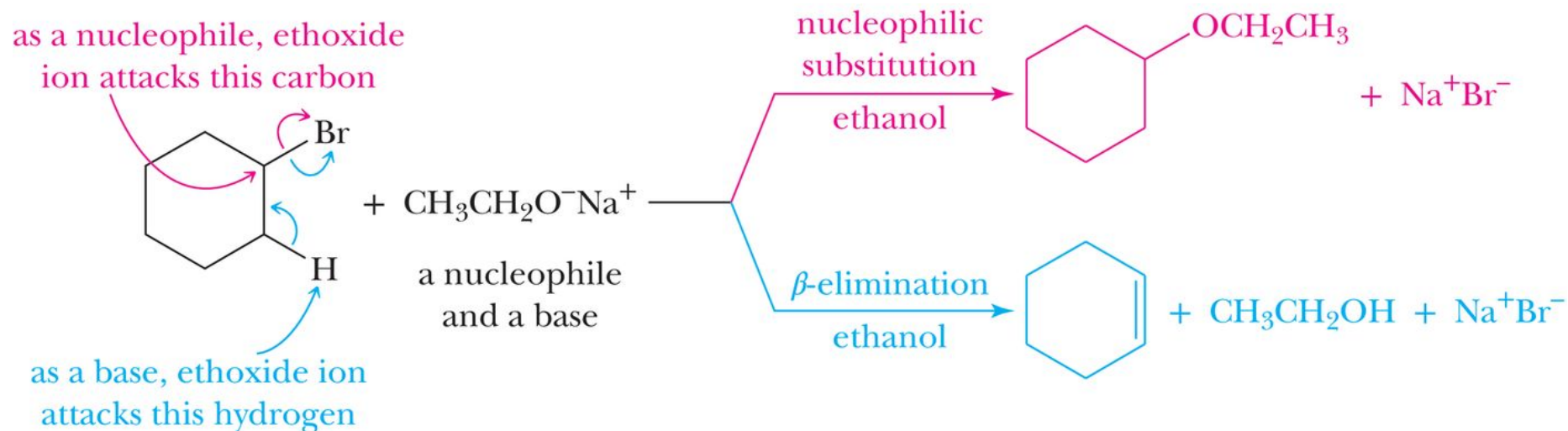
**Dichlorodifluoromethane**  
**(Freon-12)**



HCFC-141b

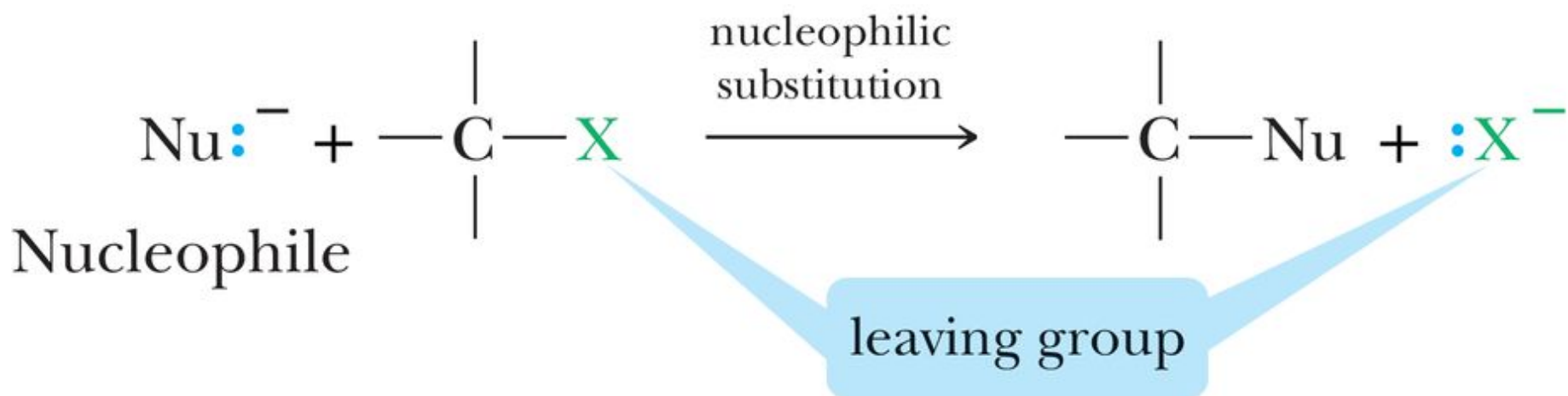
# Substitution & Elimination

- ❖ In this chapter we, concentrate on two types of reactions:
  - nucleophilic substitution
  - $\beta$ -elimination



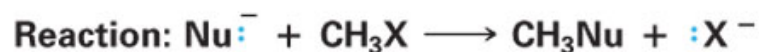
# Nucleophilic Substitution

- ❖ In the following general reaction, substitution takes place on an  $sp^3$  hybridized (tetrahedral) carbon.



# Nucleophilic Substitution

**TABLE 7.1** Some Nucleophilic Substitution Reactions



Nucleophile		Product	Class of Compound Formed
$\text{HO}^-$	$\longrightarrow$	$\text{CH}_3\text{OH}$	An alcohol
$\text{RO}^-$	$\longrightarrow$	$\text{CH}_3\text{OR}$	An ether
$\text{HS}^-$	$\longrightarrow$	$\text{CH}_3\text{SH}$	A thiol (a mercaptan)
$\text{RS}^-$	$\longrightarrow$	$\text{CH}_3\text{SR}$	A sulfide (a thioether)
$\text{:I}^-$	$\longrightarrow$	$\text{CH}_3\text{I}$	An alkyl iodide
$\text{:NH}_3$	$\longrightarrow$	$\text{CH}_3\text{NH}_3^+$	An alkylammonium ion
$\text{HOH}$	$\longrightarrow$	$\begin{array}{c} \text{CH}_3\text{O}^+\text{H} \\   \\ \text{H} \end{array}$	An alcohol (after proton transfer)
$\text{CH}_3\text{OH}$	$\longrightarrow$	$\begin{array}{c} \text{CH}_3\text{O}^+\text{CH}_3 \\   \\ \text{H} \end{array}$	An ether (after proton transfer)

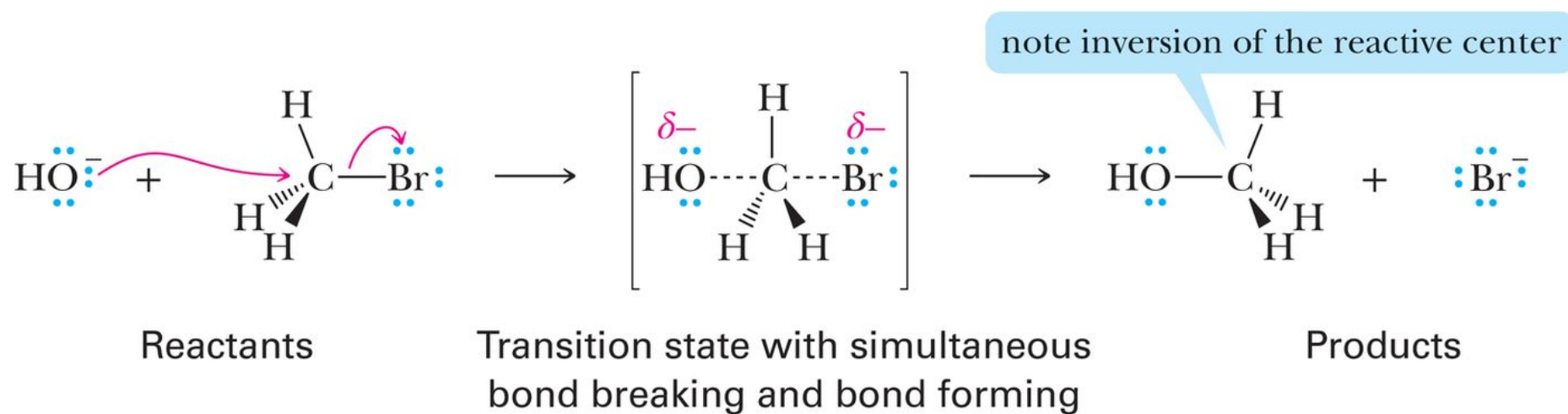
notice that a nucleophile does not need to be negatively charged

# Mechanisms, S<sub>N</sub>2 and S<sub>N</sub>1

- ❖ There are two mechanisms for nucleophilic substitutions.
  - A fundamental difference between them is the timing of the bond-breaking and bond-forming steps.
- ❖ At one extreme, designated S<sub>N</sub>2, the two processes take place simultaneously;
  - S = substitution
  - N = nucleophilic
  - 2 = bimolecular (two species are involved in the rate-determining step)
  - rate = k[haloalkane][nucleophile]

# S<sub>N</sub>2

- Both reactants are involved in the transition state of the rate-determining step.
- The nucleophile attacks the reactive center from the side opposite the leaving group. The key step is **reaction of a nucleophile and an electrophile to form a new covalent bond.**

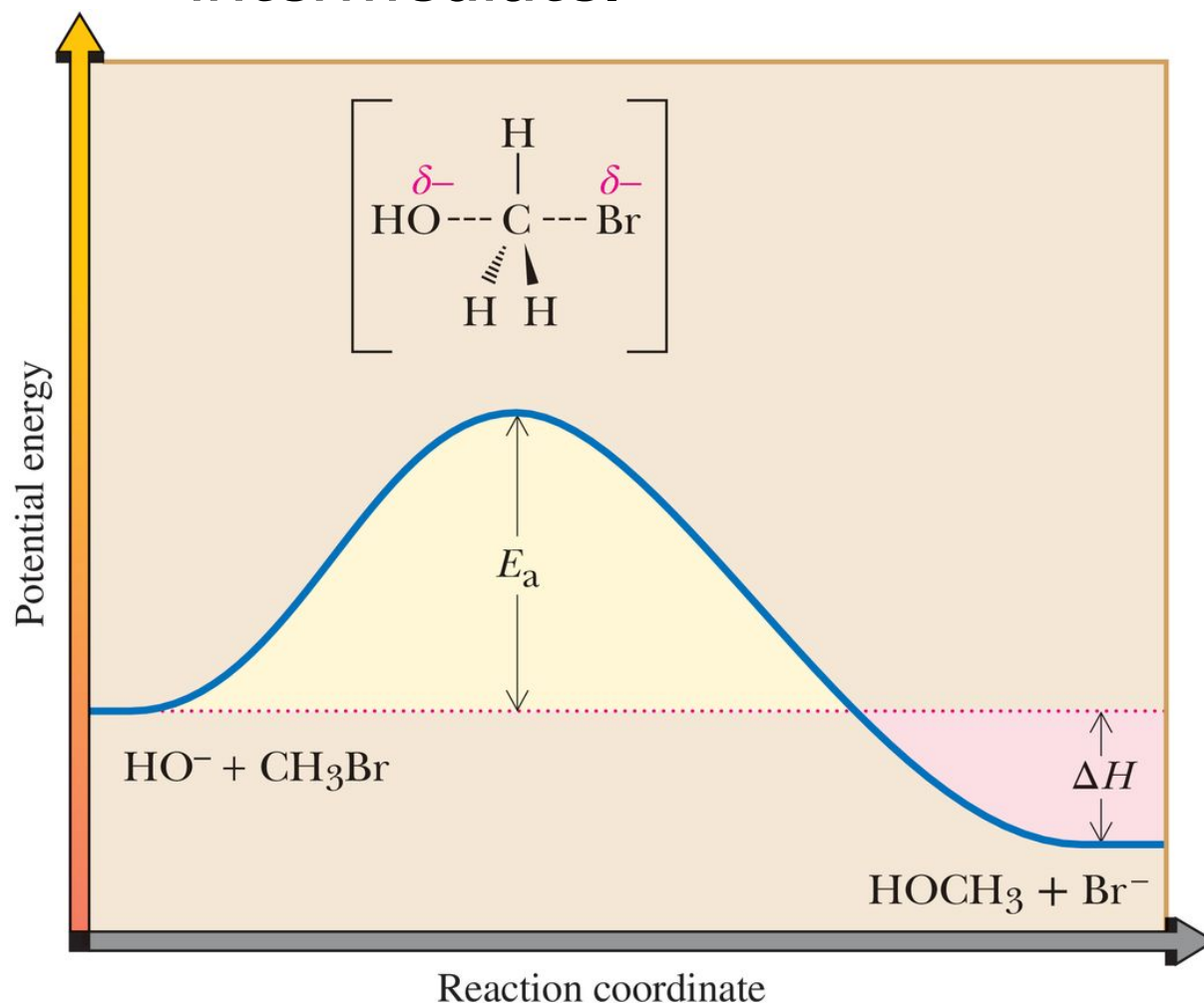




# S<sub>N</sub>2

**Figure 7.1** An energy diagram for an S<sub>N</sub>2 reaction.

- ◆ There is one transition state and no reactive intermediate.

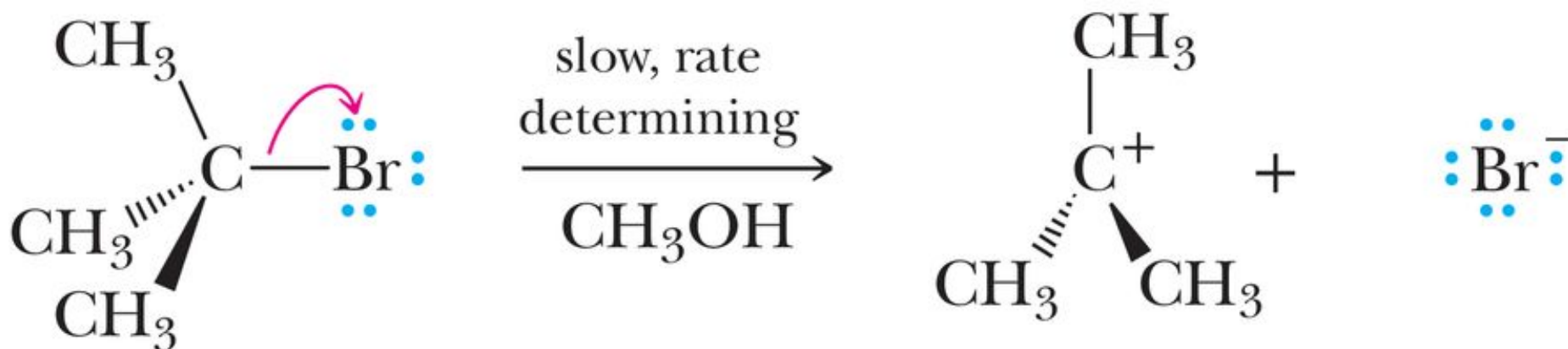


# S<sub>N</sub>1

- ❖ In the other limiting mechanism, bond breaking between carbon and the leaving group is entirely completed before bond forming with the nucleophile begins.
- ❖ This mechanism is designated S<sub>N</sub>1 where
  - S = substitution
  - N = nucleophilic
  - 1 = unimolecular (only one species, RX is involved in the rate-determining step)
  - rate = k[haloalkane]

# S<sub>N</sub>1

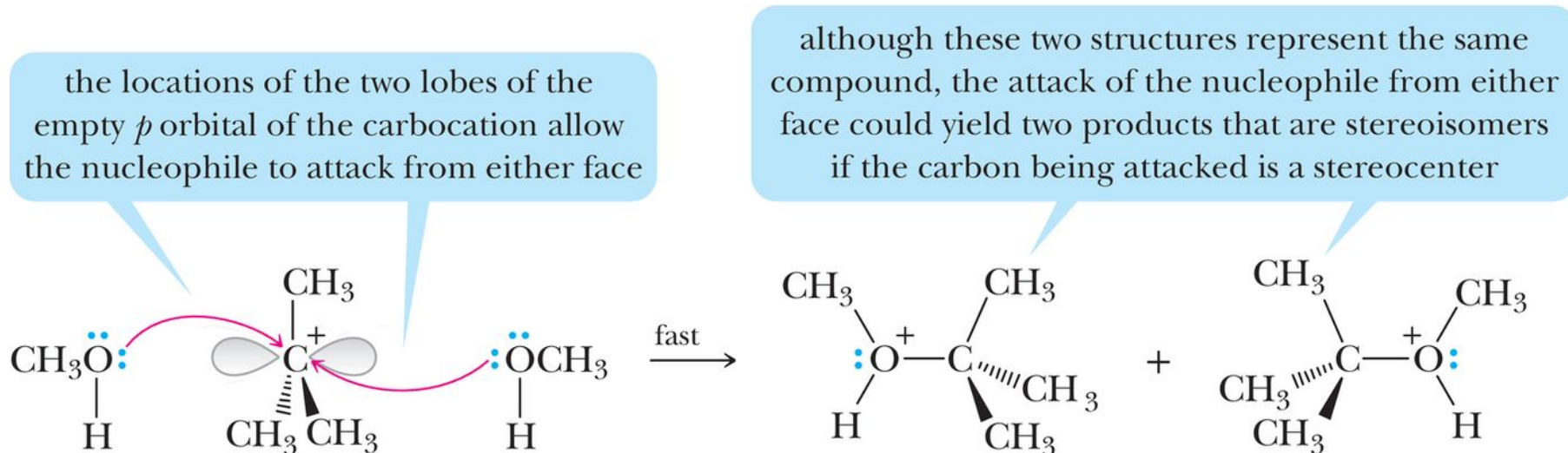
- ❖ S<sub>N</sub>1 is illustrated by the solvolysis of *tert*-butyl bromide. Methanol is the solvent.
  - **Step 1: Break a bond to form a stable ion or molecule.** Ionization of the C-X bond gives a 3° *carbocation* intermediate and bromide ion.



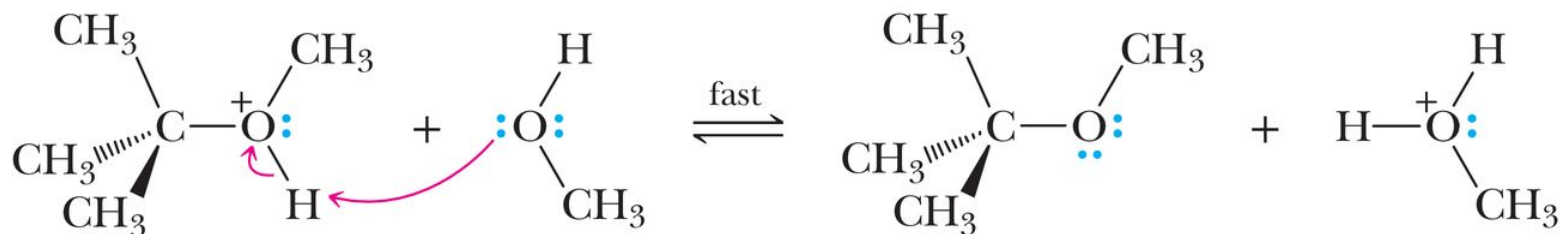
A carbocation intermediate;  
carbon is trigonal planar

# S<sub>N</sub>1

- **Step 2: Reaction of a nucleophile and an electrophile to form a new covalent bond.**

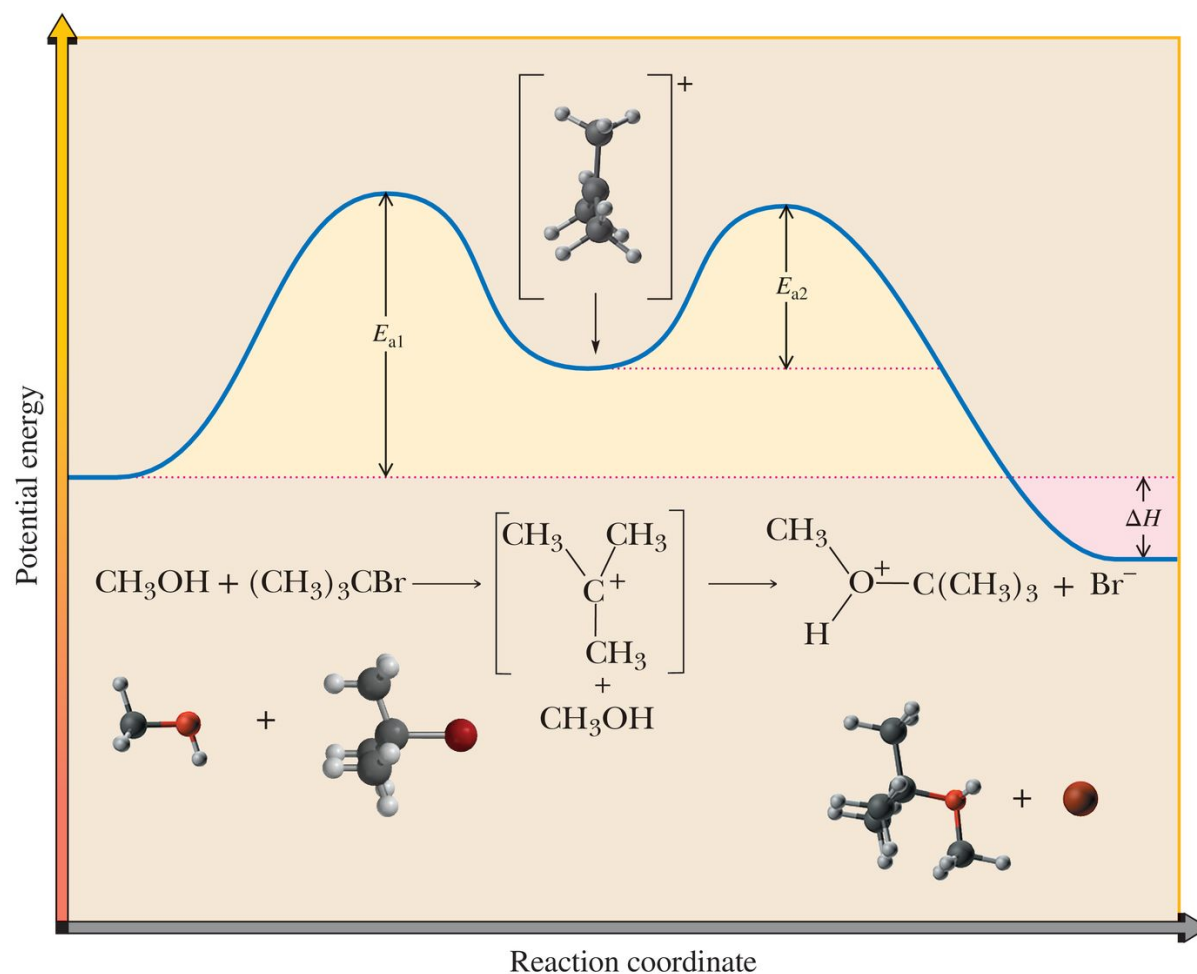


- **Step 3: Take a proton away.** Proton transfer to methanol completes the reaction.

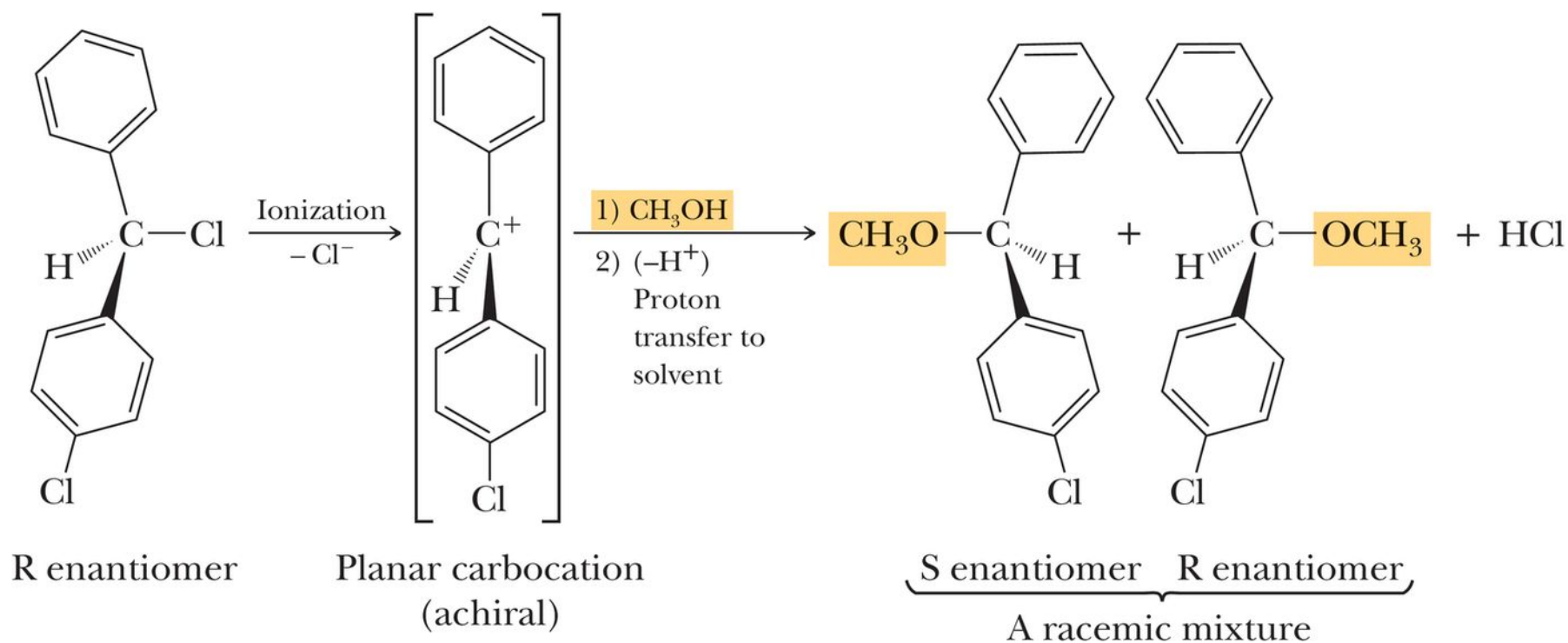


# S<sub>N</sub>1

**Figure 7.2** An energy diagram for an S<sub>N</sub>1 reaction. There are two transition states and one intermediate. The first step is rate-determining.



**S<sub>N</sub>1** ✦ For an S<sub>N</sub>1 reaction at a stereocenter, the product is a racemic mixture.

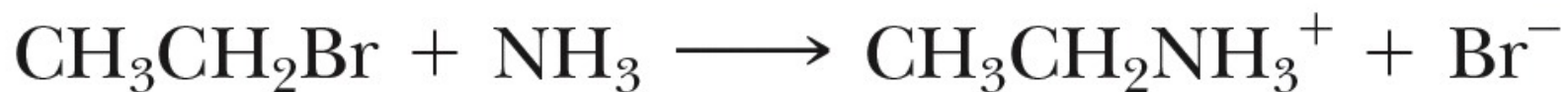


# Evidence for S<sub>N</sub> Reactions

- ❖ Let us examine some of the experimental evidence on which these two mechanisms are based and, as we do, consider the following questions.
  - What effect does the structure of the nucleophile have on the rate of reaction?
  - What effect does the structure of the haloalkane have on the rate of reaction?
  - What effect does the structure of the leaving group have on the rate of reaction?
  - What is the role of the solvent?

# Nucleophilicity

- ❖ **Nucleophilicity**: a kinetic property measured by the rate at which a Nu: attacks a reference compound under a standard set of experimental conditions.
  - For example, the rate at which a set of nucleophiles displaces bromide ion from bromoethane in ethanol at 25 °C.



- ❖ **Table 7.2** shows common nucleophiles and their relative nucleophilicities (next).



# Relative Nucleophilicity

**TABLE 7.2** Examples of Common Nucleophiles and Their Relative Effectiveness

Effectiveness as a Nucleophile	Nucleophile
Increasing nucleophilicity ↑	good { Br <sup>-</sup> , I <sup>-</sup> CH <sub>3</sub> S <sup>-</sup> , RS <sup>-</sup> HO <sup>-</sup> , CH <sub>3</sub> O <sup>-</sup> , RO <sup>-</sup>
	moderate { Cl <sup>-</sup> , F <sup>-</sup> $\begin{array}{c} \text{O} \quad \text{O} \\ \parallel \quad \parallel \\ \text{CH}_3\text{CO}^-, \text{RCO}^- \end{array}$ CH <sub>3</sub> SH, RSH, R <sub>2</sub> S NH <sub>3</sub> , RNH <sub>2</sub> , R <sub>2</sub> NH, R <sub>3</sub> N
	poor { H <sub>2</sub> O CH <sub>3</sub> OH, ROH $\begin{array}{c} \text{O} \quad \text{O} \\ \parallel \quad \parallel \\ \text{CH}_3\text{COH}, \text{RCOH} \end{array}$

the table shows that negatively charged species are better nucleophiles than neutral species

# Structure of the Haloalkane

## ❖ S<sub>N</sub>1 reactions

- Governed by **electronic factors**, namely the relative stabilities of carbocation intermediates.
- **Relative rates: 3° > 2° > 1° > methyl**

## ❖ S<sub>N</sub>2 reactions

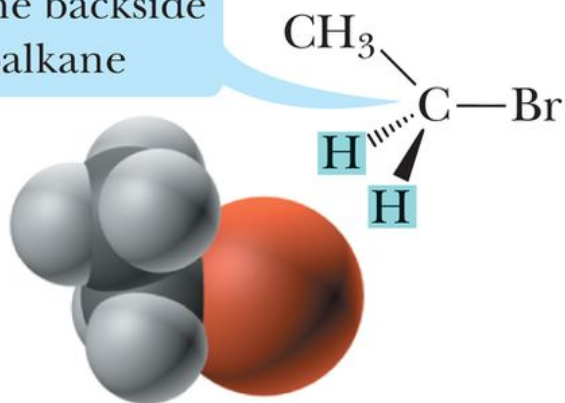
- Governed by **steric factors**, namely the relative ease of approach of the nucleophile to the site of reaction.
- **Relative rates: methyl > 1° > 2° > 3°**

# Structure of the Haloalkane

## ❖ Steric factors

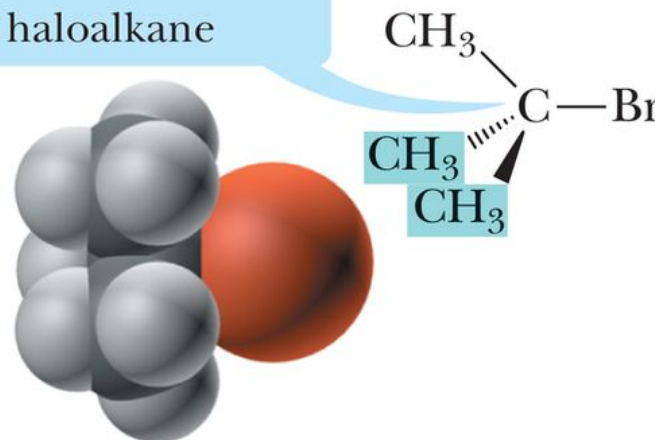
- Compare access to the reaction center in bromoethane and 2-bromo-2-methylpropane (*tert*-butyl chloride).

less crowding; easier access to the backside of the haloalkane



Bromoethane (Ethyl bromide)

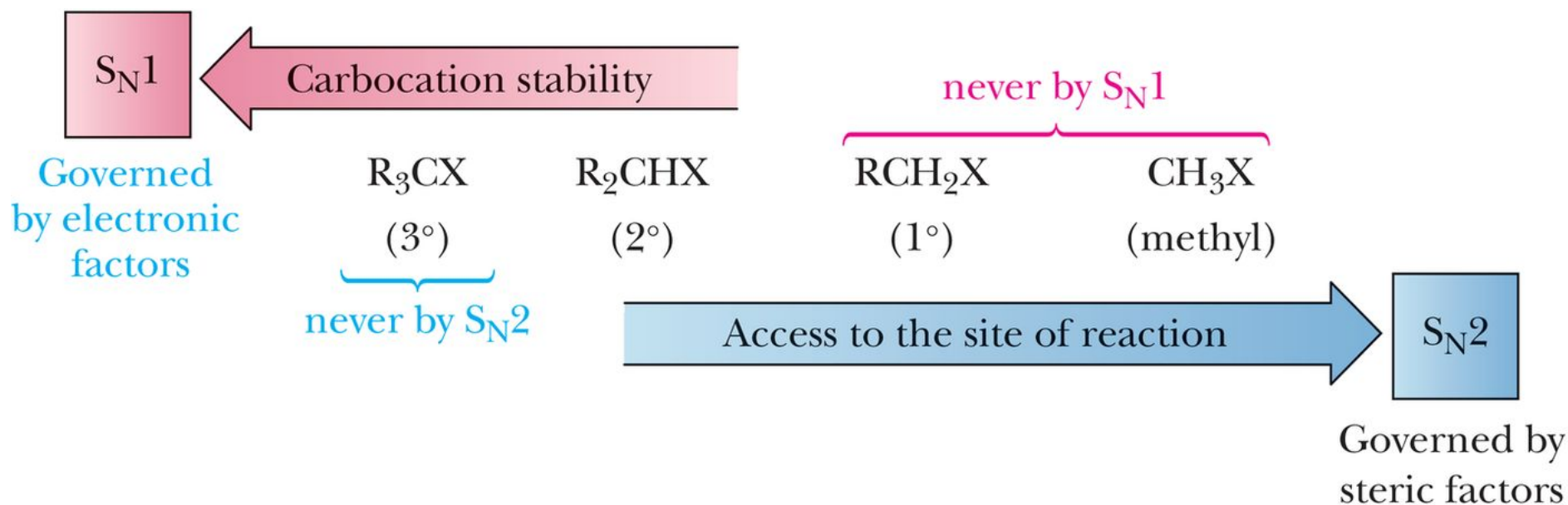
more crowding; blocks access to the backside of the haloalkane



2-Bromo-2-methylpropane (*tert*-Butyl bromide)

# Structure of the Haloalkane

- ❖ **Figure 7.3** Effect of electronic and steric factors on competition between  $S_N1$  and  $S_N2$  reactions of haloalkanes.

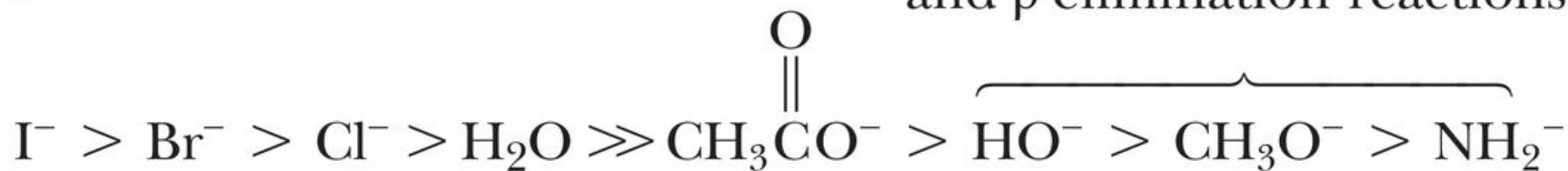


# The Leaving Group

- The best leaving groups in this series are the halogens  $\text{I}^-$ ,  $\text{Br}^-$ , and  $\text{Cl}^-$ .
- $\text{OH}^-$ ,  $\text{RO}^-$ , and  $\text{NH}_2^-$  are such poor leaving groups that they are rarely if ever displaced in nucleophilic substitution reactions.

← Greater ability to act as leaving group

Rarely act as leaving groups in nucleophilic substitution and  $\beta$ -elimination reactions

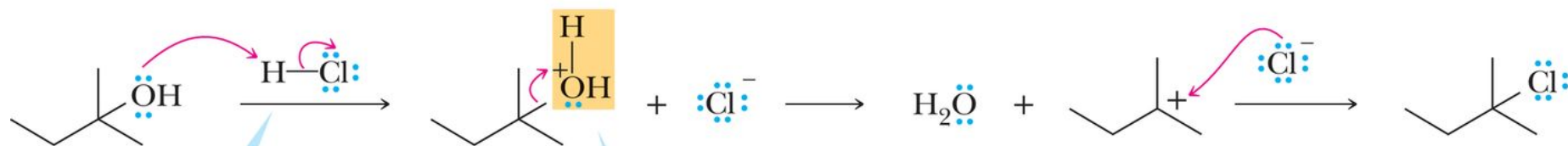
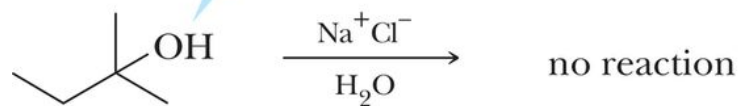


← Greater stability of anion; greater strength of conjugate acid

# The Leaving Group

- ❖ Hydroxide ion,  $\text{OH}^-$ , is a poor leaving group. However, the  $-\text{OH}$  group of an alcohol can act as a leaving group,  $\text{H}_2\text{O}$ , if the  $-\text{OH}$  group is first protonated by an acid to form  $-\text{OH}_2^+$ , a better leaving group.

an  $-\text{OH}$  group is a poor leaving group and does not undergo substitution



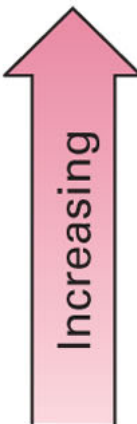
a strong acid can protonate the  $-\text{OH}$  group

$\text{H}_2\text{O}$  is a good leaving group because it is a stable, neutral molecule

# The Solvent

- ❖ **Protic solvent:** a solvent that contains an  $\text{-OH}$  group and is a hydrogen bond donor.


**TABLE 7.3** Common Protic Solvents

Protic Solvent	Structure	Polarity of Solvent	Notes
Water	$\text{H}_2\text{O}$		These solvents favor $\text{S}_{\text{N}}1$ reactions. The greater the polarity of the solvent, the easier it is to form carbocations in it because both the carbocation and the negatively charged leaving group can be solvated.
Formic acid	$\text{HCOOH}$		
Methanol	$\text{CH}_3\text{OH}$		
Ethanol	$\text{CH}_3\text{CH}_2\text{OH}$		
Acetic acid	$\text{CH}_3\text{COOH}$		

# The Solvent

- ❖ **Aprotic solvent:** A solvent that does not contain an  $\text{-OH}$  group and is not a hydrogen bond donor.
  - Aprotic solvents favor  $\text{S}_{\text{N}}2$  reactions. Although the solvents at the top of the table are polar, formation of carbocations in them is more difficult than in protic solvents.

**TABLE 7.4** Common Aprotic Solvents

Aprotic Solvent	Structure	Polarity of Solvent	Notes
Dimethyl sulfoxide (DMSO)	$\text{CH}_3\overset{\text{O}}{\parallel}\text{SCH}_3$		These solvents favor $\text{S}_{\text{N}}2$ reactions. Although solvents at the top of this list are polar, the formation of carbocations in them is far more difficult than in protic solvents because the anionic leaving group cannot be solvated by these solvents.
Acetone	$\text{CH}_3\overset{\text{O}}{\parallel}\text{CCH}_3$		
Dichloromethane	$\text{CH}_2\text{Cl}_2$		
Diethyl ether	$(\text{CH}_3\text{CH}_2)_2\text{O}$		



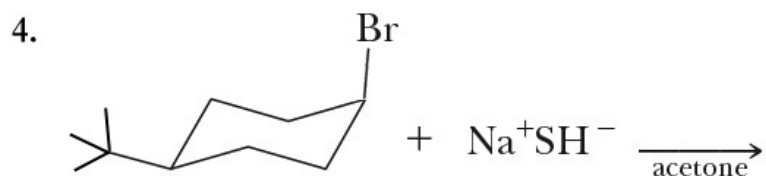
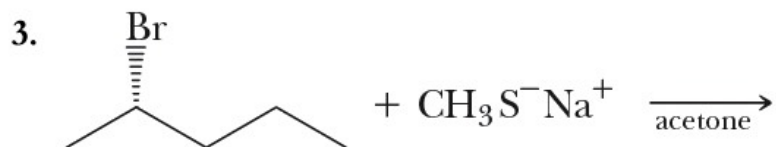
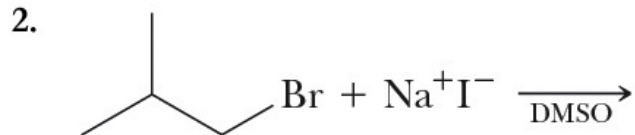
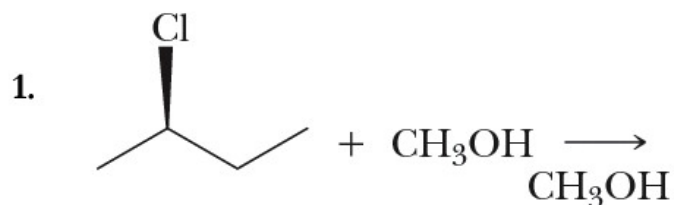
# Summary of S<sub>N</sub>1 and S<sub>N</sub>2 Reactions of Haloalkanes

**TABLE 7.5** Summary of S<sub>N</sub>1 versus S<sub>N</sub>2 Reactions of Haloalkanes

Type of Haloalkane	S <sub>N</sub> 2	S <sub>N</sub> 1
Methyl CH <sub>3</sub> X	<b>S<sub>N</sub>2 is favored.</b>	<b>S<sub>N</sub>1 does not occur.</b> The methyl cation is so unstable that it is never observed in solution.
Primary RCH <sub>2</sub> X	<b>S<sub>N</sub>2 is favored.</b>	<b>S<sub>N</sub>1 does not occur.</b> Primary carbocations are so unstable that they are not observed in solution.
Secondary R <sub>2</sub> CHX	<b>S<sub>N</sub>2 is favored</b> in aprotic solvents with good nucleophiles.	<b>S<sub>N</sub>1 is favored</b> in protic solvents with poor nucleophiles.
Tertiary R <sub>3</sub> CX	<b>S<sub>N</sub>2 does not occur</b> , because of steric hindrance around the substitution center.	<b>S<sub>N</sub>1 is favored</b> because of the ease of formation of tertiary carbocations.
Substitution at a stereocenter	<b>Inversion of configuration.</b> The nucleophile attacks the stereocenter from the side opposite the leaving group.	<b>Racemization.</b> The carbocation intermediate is planar, and attack by the nucleophile occurs with equal probability from either side.

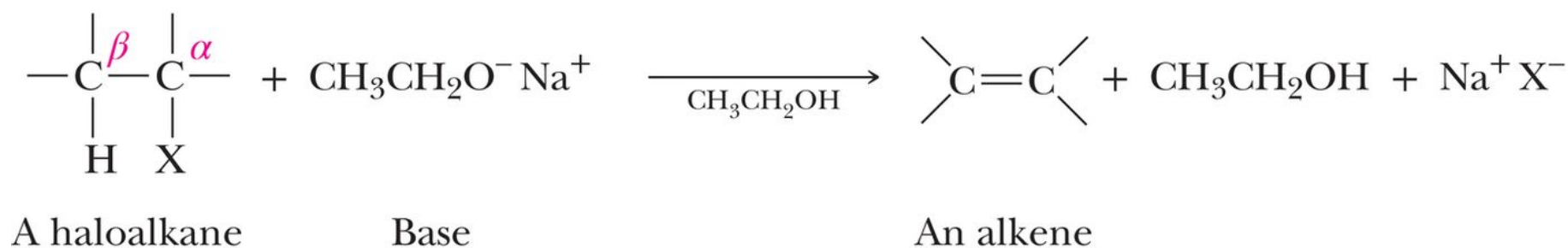
# Nucleophilic Substitution

**Example:** Predict the product of each reaction, the reaction mechanism, and the stereochemistry of the product.



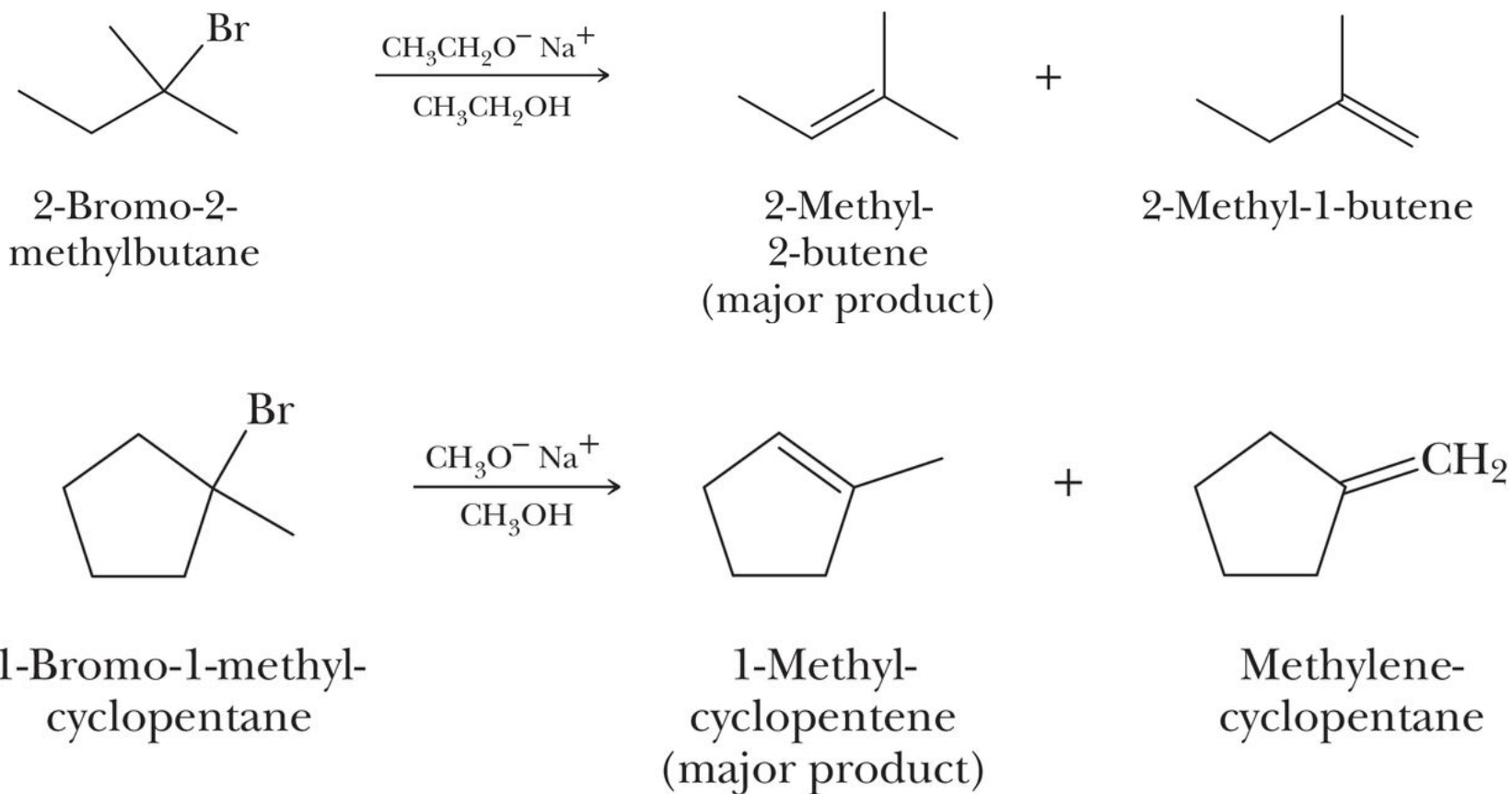
# $\beta$ -Elimination

- ❖  **$\beta$ -Elimination**: Removal of atoms or groups of atoms from adjacent carbons to form a carbon-carbon double bond.
  - We study a type of  $\beta$ -elimination called **dehydrohalogenation** (the elimination of HX).



# $\beta$ -Elimination

- ❖ **Zaitsev's rule:** The major product of a  $\beta$ -elimination is the more stable (the more highly substituted) alkene. When *cis-trans* isomerism is possible, the *trans* isomer is favored.

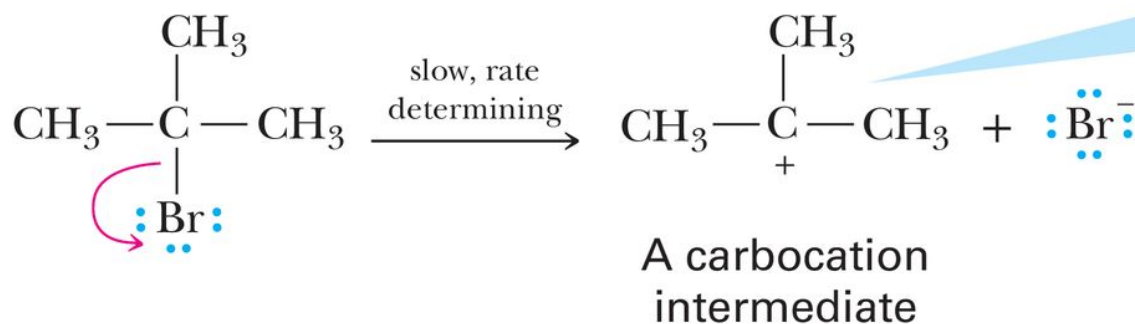


# $\beta$ -Elimination

- ❖ There are two limiting mechanisms for  $\beta$ -elimination reactions.
- ❖ **E1 mechanism**: at one extreme, breaking of the C-X bond is complete before reaction with base breaks the C-H bond.
  - Only R-X is involved in the rate-determining step.
- ❖ **E2 mechanism**: at the other extreme, breaking of the C-X and C-H bonds is concerted.
  - Both R-X and base are involved in the rate-determining step.

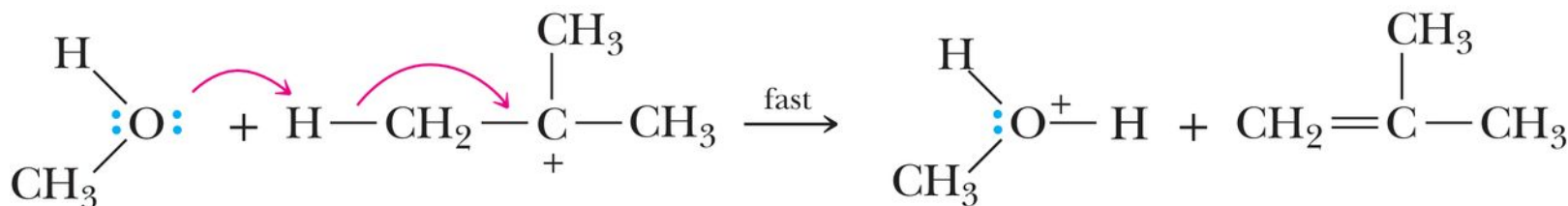
# E1 Mechanism

- **Step 1: Break a bond to give a stable molecule or ion.** Rate-determining ionization of C-X gives a carbocation intermediate and halide ion.



only 2°, or in this case 3° carbocations are formed in E1 reactions

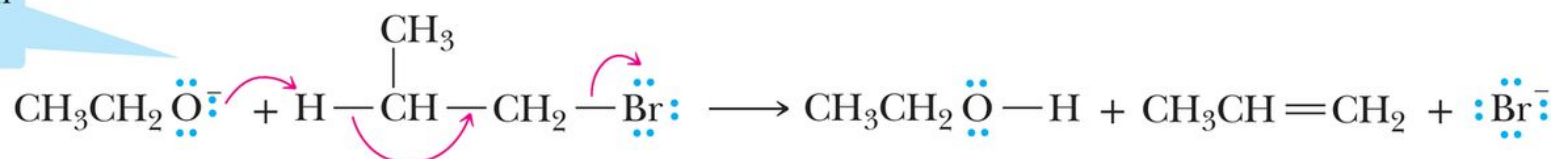
- **Step 2: Take a proton away.** Proton transfer from the carbocation to a base (in this case, the solvent) gives the alkene.



# E2 Mechanism

- ❖ A one-step mechanism; all bond-breaking and bond-forming steps are concerted. Simultaneously (1) take a proton away and (2) break a bond to form a stable ion or molecule.

the E2 mechanism  
is concerted



# Elimination Reactions

## ( $\beta$ -Elimination)

**TABLE 7.6** Summary of E1 versus E2 Reactions of Haloalkanes

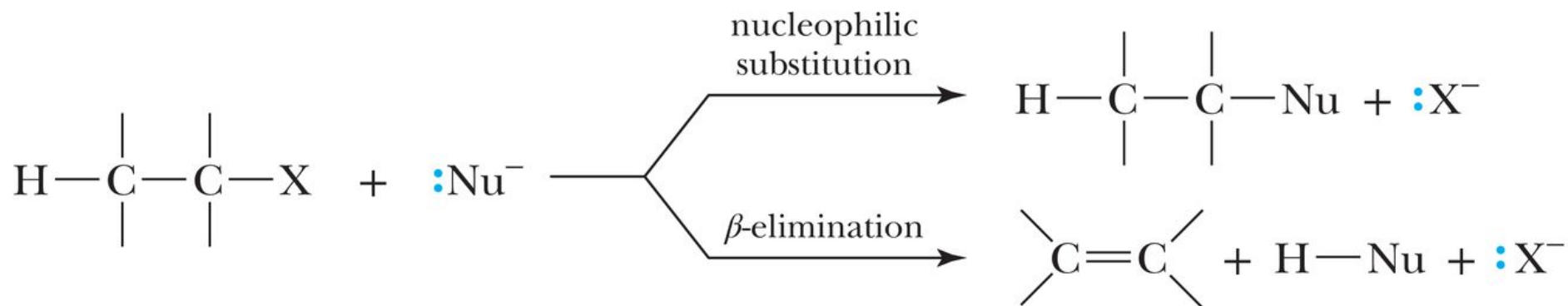
Haloalkane	E1	E2
Primary RCH <sub>2</sub> X	E1 does not occur. Primary carbocations are so unstable that they are never observed in solution.	E2 is favored.
Secondary R <sub>2</sub> CHX	Main reaction with weak bases such as H <sub>2</sub> O and ROH.	Main reaction with strong bases such as OH <sup>-</sup> and OR <sup>-</sup> .
Tertiary R <sub>3</sub> CX	Main reaction with weak bases such as H <sub>2</sub> O and ROH.	Main reaction with strong bases such as OH <sup>-</sup> and OR <sup>-</sup> .



# Substitution versus Elimination

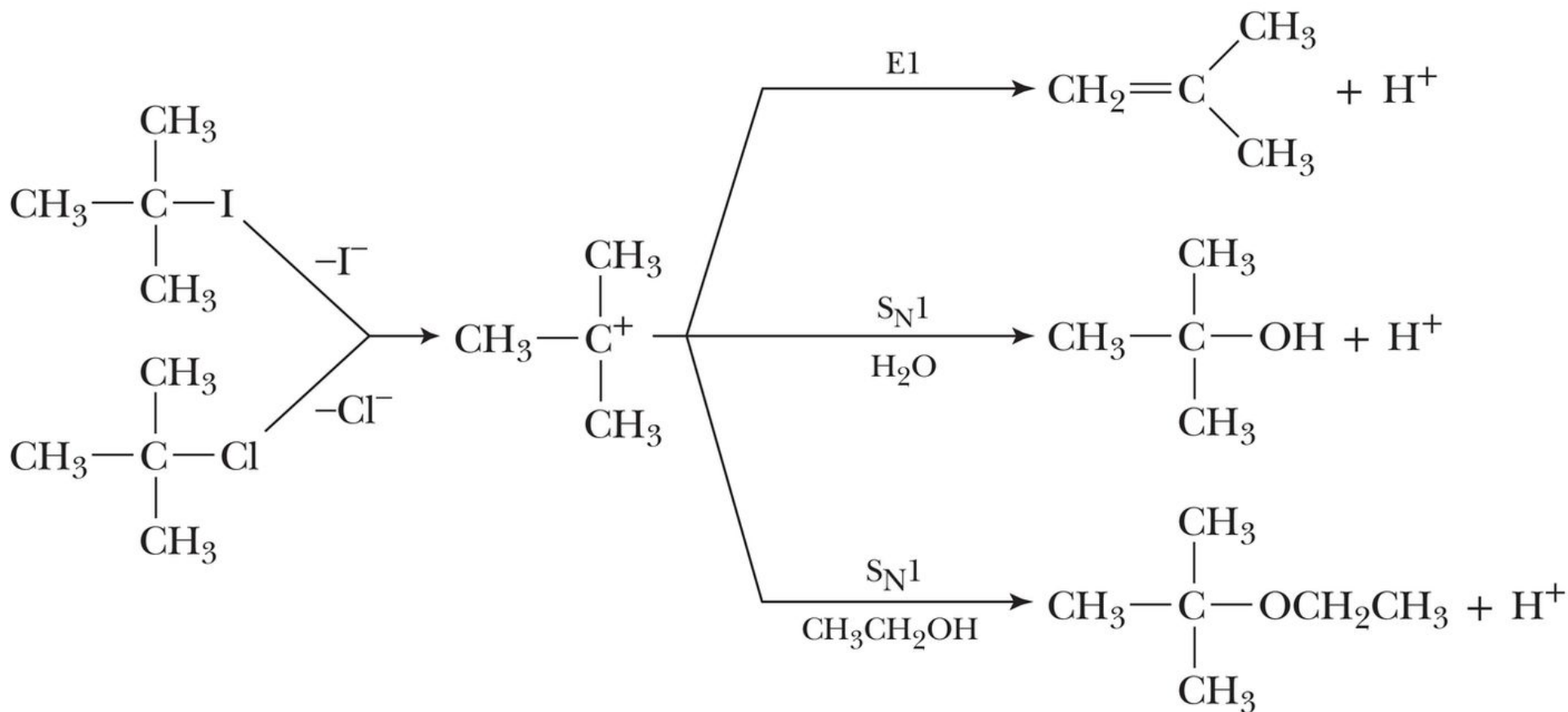
❖ Because many nucleophiles are also strong bases ( $\text{OH}^-$  and  $\text{RO}^-$ ),  $\text{S}_{\text{N}}$  and E reactions often compete.

- The ratio of  $\text{S}_{\text{N}}$ /E products depends on the relative rates of the two reactions.



# S<sub>N</sub>1 versus E1

- ❖ Reactions of 2° and 3° haloalkanes in polar protic solvents give mixtures of substitution and elimination products. Product ratios are difficult to predict.

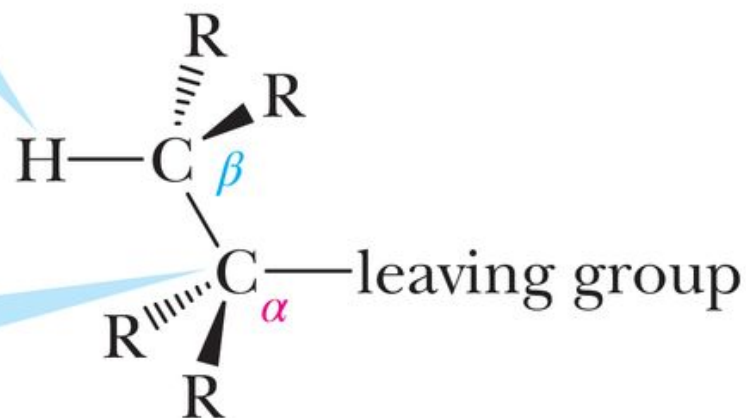


# S<sub>N</sub>2 versus E2

- ❖ It is considerably easier to predict the ratio of S<sub>N</sub>2 to E2 products.

attack of a base on a  $\beta$ -hydrogen by E2 is only slightly affected by branching at the  $\alpha$ -carbon; alkene formation is accelerated

S<sub>N</sub>2 attack of a nucleophile is impeded by branching at the  $\alpha$ - and  $\beta$ -carbons



# Summary of S<sub>N</sub> versus E for Haloalkanes

- For Methyl and Primary Haloalkanes

**TABLE 7.7** Summary of Substitution versus Elimination Reactions of Haloalkanes

Halide	Reaction	Comments
Methyl CH <sub>3</sub> X	S <sub>N</sub> 2 <del>S<sub>N</sub>1</del>	The only substitution reactions observed. S <sub>N</sub> 1 reactions of methyl halides are never observed. The methyl cation is so unstable that it is never formed in solution.
Primary RCH <sub>2</sub> X	S <sub>N</sub> 2 E2 <del>S<sub>N</sub>1/E1</del>	The main reaction with strong bases such as OH <sup>-</sup> and EtO <sup>-</sup> . Also, the main reaction with good nucleophiles/weak bases, such as I <sup>-</sup> and CH <sub>3</sub> COO <sup>-</sup> . The main reaction with strong, bulky bases, such as potassium <i>tert</i> -butoxide. Primary cations are never formed in solution; therefore, S <sub>N</sub> 1 and E1 reactions of primary halides are never observed.

# Summary of S<sub>N</sub> versus E for Haloalkanes

- For **Secondary and Tertiary** Haloalkanes

**TABLE 7.7** Summary of Substitution versus Elimination Reactions of Haloalkanes

Halide	Reaction	Comments
Secondary R <sub>2</sub> CHX	S <sub>N</sub> 2	The main reaction with weak bases/good nucleophiles, such as I <sup>-</sup> and CH <sub>3</sub> COO <sup>-</sup> .
	E2	The main reaction with strong bases/good nucleophiles, such as OH <sup>-</sup> and CH <sub>3</sub> CH <sub>2</sub> O <sup>-</sup> .
	S <sub>N</sub> 1/E1	Common in reactions with weak nucleophiles in polar protic solvents, such as water, methanol, and ethanol.
Tertiary R <sub>3</sub> CX	<del>S<sub>N</sub>2</del>	S <sub>N</sub> 2 reactions of tertiary halides are never observed because of the extreme crowding around the 3° carbon.
	E2	Main reaction with strong bases, such as HO <sup>-</sup> and RO <sup>-</sup> .
	S <sub>N</sub> 1/E1	Main reactions with poor nucleophiles/weak bases.

# Summary of S<sub>N</sub> versus E for Haloalkanes

- **Examples:** Predict the major product and the mechanism for each reaction.

